

USS GLOBAL LIMITED

(Formerly known as SURNIDHI INVESTMENT LIMITED)

Regd office : 1502, 15th Floor, RG Trade Tower, Netaji Subhash Place, Pitam Pura, New Delhi – 110034

Phone: 011 45824477 , website: www.ussglobaltd.com

E - mail: surnidhiinvestmentltd@gmail.com CIN: L74900DL1993PLC056491

FORM NO. MGT-9

EXTRACT OF ANNUAL RETURN

As on Financial Year ended 31st March 2019

[Pursuant to Section 92(3) of the Companies Act, 2013 and Rule 12(1) of the Companies (Management and Administration) Rules, 2014]

I. REGISTRATION AND OTHER DETAILS:

1.	CIN	L74900DL1993PLC056491
2.	Registration Date	16/12/1993
3.	Name of the Company	USS GLOBAL LIMITED (Formerly known as Surnidhi Investment Limited)
4.	Category/Subcategory of the Company	PUBLIC COMPANY LIMITED BY SHARES/ INDIAN NON- GOVERNMENT COMPANY
5.	Address of the Registered Office & Contact Details	1502, 15 th FLOOR, RG TRADE TOWER, NETAJI SUBHASH PLACE, PITAM PURA, NEW DELHI - 110034 Ph: 011-45824477, Email: surnidhiinvestmentltd@gmail.com Website: www.ussglobaltd.com
6.	Whether Listed Company	YES
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	ALANKIT ASSIGNMENTS LIMITED Address: 3E/7, Alankit Heights, Jhandewalan Extension, New Delhi-110055 Tel No: 011-4254 1234, 011-2354 1234 Fax No.: 011-23552001, 011-42541201 Email ID: info@alankit.com Website: www.alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY:

All the Business Activities contributing 10% or more of the total turnover of the Company shall be stated:

S. No.	Name and Description of main Products / Services	NIC Code of the Product/ Service	% to total turnover of the Company
1.	Interest Income	649- Other Credit Granting	79.48%
2.	Consultancy Services	702- Management Consultancy Activities	9.23%

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

S. NO.	NAME & ADDRESS OF THE COMPANY	CIN/GLN	HOLDING/SUBSIDIARY/ ASSOCIATE COMPANY	% OF SHARES HELD	APPLICABLE SECTION
The Company does not have any Holding, Subsidiary and Associate Company(ies)					

Category of Shareholders	No. of Shares held at the beginning of the Financial Year (as on 1 st April, 2018)				No. of Shares held at the end of the Financial Year (as on 31 st March, 2019)				% Change during the year
	Demat	Physical	Total	% of total Shares	Demat	Physical	Total	% of total Shares	
2. Non Institutions									
a) Bodies Corporate									
i) Indian	Nil	4,500	4,500	0.090%	Nil	4,500	4,500	0.090%	Nil
ii) Overseas	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
b) Individuals									
i) Individual shareholders holding nominal share capital upto Rs. 1 lakh	Nil	2,11,200	2,11,200	4.224%	Nil	2,11,200	2,11,200	4.224%	Nil
ii) Individual shareholders holding nominal share capital in excess of Rs. 1 lakh	Nil	16,24,100	16,24,100	32.482%	Nil	14,25,400	14,25,400	28.508%	(3.974%)
Others (specify)	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Sub-total (B)(2):-	Nil	18,39,800	18,39,800	36.796%	Nil	16,41,100	16,41,100	32.822%	(3.974%)
Total Public Shareholding (B)=(B)(1)+ (B)(2)	Nil	18,39,800	18,39,800	36.796%	Nil	16,41,100	16,41,100	32.822%	(3.974%)
C. Shares held by Custodian for GDRs & ADRs	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil	Nil
Grand Total (A+B+C)	30,60,200	19,39,800	50,00,000	100%	32,60,200	17,39,800	50,00,000	100%	Nil

B. Shareholding of Promoters:

S.No.	Shareholder's Name	Shareholding at the beginning of the Financial Year (as on 1 st April, 2018)			Shareholding at the End of the Financial Year (as on 31 st March, 2019)			
		No. of Shares	% of total Shares of the Company	% of Shares Pledged/ Encumbered to total Shares	No. of Shares	% of total Shares of the Company	% of Shares Pledged/ Encumbered to total Shares	% Change in the Shareholding during the year
1.	Mohit Gupta	31,60,200	63.204%	—	*33,58,900	67.178%	—	3.974%
	TOTAL	31,60,200	63.204%	—	33,58,900	67.178%	—	3.974%

***Note:**

Change in Shareholding occurred due to purchase of Equity Shares by **Mr. Mohit Gupta (Promoter of the Company)**.
Details of Equity Shares acquired by Mr. Mohit Gupta during the Financial Year 2018-19 are as follows:

S.NO.	Seller/ Transferor	No. of Shares	Acquirer/ Transferee	Date of Purchase	Date of Board Meeting for approval of Transfer
1.	Hupendra Kumar	1,00,000	Mohit Gupta	13/11/2018	15/11/2018
2.	Maliram Agarwal	98,700	Mohit Gupta	13/03/2019	13/03/2019

C. Change in Promoters' Shareholding (Please specify, if there is no change)

S.No.	Shareholders Name	Shareholding at The beginning of the Financial Year (as on 1 st April, 2018)		Increase(+) Decrease(-) In Shareholding				Cumulative Shareholding during the year (01/04/2018 to 31/03/2019)	
		No. of Shares	% of Total Shares of the Company	Date	No. of Shares	% of Total Shares of the Company	Reason	No. of Shares	% of Total Shares of the Company
1	Mohit Gupta	31,60,200	63.204%	13/11/2018	1,00,000	2.00%	*Purchase of 1,00,000 Equity Shares	32,60,200	65.204%
				13/03/2019	98,700	1.974%	*Purchase of 98,700 Equity Shares	33,58,900	67.178%

***Note:**

Change in Shareholding occurred due to purchase of Equity Shares by **Mr. Mohit Gupta (Promoter of the Company)**.
Details of Equity Shares acquired by Mr. Mohit Gupta during the Financial Year 2018-19 are as follows:

S.NO.	Seller/ Transferor	No. of Shares	Acquirer/ Transferee	Date of Purchase	Date of Board Meeting for approval of Transfer
1.	Hupendra Kumar	1,00,000	Mohit Gupta	13/11/2018	15/11/2018
2.	Maliram Agarwal	98,700	Mohit Gupta	13/03/2019	13/03/2019

D. Shareholding Pattern of top ten Shareholders (other than Directors, Promoters and Holders of GDRs and ADRs):

S.No.	Shareholder's Name	Shareholding at the beginning of the Financial Year 1 st April,2018		Increase(+) Decrease(-) In Shareholding				Cumulative Shareholding during the year (01/04/2018 to 31/03/2019)	
		No. of Shares	% of total Shares of the Company	Date	No. of Shares	% of Total Shares of the Company	Reason	No. of Shares	% of Total Shares of the Company
1.	Surendra Kumar Agarwal	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
2.	Amit	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
3.	Sita Ram	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
4.	Hupendra Kumar	1,00,000	2.00%	13/11/2018	(1,00,000)	2.00 %	Sale of 1,00,000 Equity Shares	0	0.00%
5.	Mehandra Kumar	1,00,000	2.00%	—	—	—	—	1,00,000	2.00%
6.	Maliram Agarwal	98,700	1.97%	13/03/2019	(98,700)	1.97%	Sale of 98,700 Equity Shares	0	0.00%
7.	Vinod Kumar Gupta	97,700	1.95%	—	—	—	—	97,700	1.95%
8.	Vaibhav Gupta	97,400	1.94%	—	—	—	—	97,400	1.94%
9.	Ayush Agarwal	95,800	1.92%	—	—	—	—	95,800	1.92%
10.	Naresh Agarwal	95,500	1.91%	—	—	—	—	95,500	1.91%
11.	Nathu Lal	95,200	1.90%	—	—	—	—	95,200	1.90%
12.	Pramod Gupta	92,700	1.85%	—	—	—	—	92,700	1.85%

***Note:**

As on 31st March, 2019; Mr. Hupendra Kumar and Mr. Maliram Agarwal ceased to be in the list of Top Ten Shareholders of the Company due to transfer/sale of their entire 1,00,000 and 98,700 Equity Shares of face value of Rs. 10/- (Rupees Ten) each to **Mr. Mohit Gupta** (Promoter of the Company) on 13/11/2018 and 13/03/2019 respectively.

E. Shareholding of Directors and Key Managerial Personnel:

S.No.	Director's / KMP Name	Shareholding at the beginning of the Financial Year 01/04/2018		Increase(+) Decrease(-) In Shareholding				Cumulative Shareholding during the year (01/04/2018 to 31/03/2019)	
		No. of Shares	% of total Shares of the Company	Date	No. of Shares	% of Total Shares of the Company	Reason	No. of Shares	% of Total Shares of the Company
DIRECTORS									
1.	Atul Tandon (DIN: 03073791)	0	0.00%	—	—	—	—	0	0.00%
2.	Ruchir Jain (DIN: 03151017)	0	0.00%	—	—	—	—	0	0.00%
3.	Sanjay Kumar Sharma (DIN: 03154904)	0	0.00%	—	—	—	—	0	0.00%
4.	Naina Talwar (DIN: 07680338)	0	0.00%	—	—	—	—	0	0.00%
KEY MANAGERIAL PERSONNEL									
1.	Mohit Gupta Managing Director (DIN: 02366798)	31,60,200	63.204%	13/11/2018	1,00,000	2.00%	*Purchase of Equity Shares	32,60,200	65.204%
				13/03/2019	98,700	1.974%	*Purchase of Equity Shares	33,58,900	67.178%
2.	Poonam Company Secretary PAN: BYTPP8594C	0	0.00%	—	—	—	—	0	0.00%
3.	Sanjay Sharma Chief Financial Officer PAN: EZVPS9064J	0	0.00%	—	—	—	—	0	0.00%

***Note:**

Change in Shareholding occurred due to purchase of Equity Shares by **Mr. Mohit Gupta (Promoter of the Company)**.
Details of Equity Shares acquired by Mr. Mohit Gupta during the Financial Year 2018-19 are as follows:

S.NO.	Seller/ Transferor	No. of Shares	Acquirer/ Transferee	Date of Purchase	Date of Board Meeting for approval of Transfer
1.	Hupendra Kumar	1,00,000	Mohit Gupta	13/11/2018	15/11/2018
2.	Maliram Agarwal	98,700	Mohit Gupta	13/03/2019	13/03/2019

V. INDEBTEDNESS:-

The Company has not availed any Loan during the year and is debt free Company.

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S. No.	Particulars of Remuneration	Name of MD/WTD/Manager	Total Amount
		Mr. Mohit Gupta (Managing Director) (DIN: 02366798)	
1.	Gross salary	₹ 6,60,000	₹ 6,60,000
	(a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961	₹ 3,60,000	₹ 3,60,000
	(b) Value of perquisites u/s 17(2) of the Income-Tax Act, 1961	₹ 3,00,000	₹ 3,00,000
	(c) Profits in lieu of salary under section 17(3) of the Income-Tax Act, 1961	Nil	Nil
2.	Stock Option	Nil	Nil
3.	Sweat Equity	Nil	Nil
4.	Commission - as % of profit - others, specify...	Nil — —	Nil — —
5.	Others, please specify	Nil	Nil
	Total (A)	₹ 6,60,000	₹ 6,60,000
	Ceiling as per the Companies Act, 2013	Remuneration paid to the Managing Director is within the ceiling Limit as prescribed under the Companies Act, 2013.	

B. Remuneration to other Directors:

S.No.	Particulars of Remuneration	Name of Directors	Total Amount
	<p>Independent Directors</p> <ul style="list-style-type: none"> • Fee for attending Board / Committee Meetings • Commission • Others, please specify 		<p>.....NIL.....</p>
	Total (1)		
	<p>Other Non-Executive Directors</p> <ul style="list-style-type: none"> • Fee for attending Board/ Committee Meetings • Commission • Others, please specify 		<p>.....NIL.....</p>
	Total (2)		
	Total (B)=(1+2)		
	Total Managerial Remuneration		
	Overall Ceiling as per the Companies Act, 2013		

C. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD:

S. No.	Particulars of Remuneration	Key Managerial Personnel			
		CEO	Company Secretary Ms. Poonam (ACS: 34664) PAN : BYTPP8594C	Chief Financial Officer Mr. Sanjay Sharma PAN : EZVPS9064J	Total
1.	Gross salary (a) Salary as per provisions contained in section 17(1) of the Income-Tax Act, 1961	—	₹ 4,45,000	₹ 4,10,000	₹ 8,55,000
	(b) Value of perquisites u/s 17(2) of the Income-Tax Act, 1961	—	—	—	—
	(c) Profits in lieu of salary under section 17(3) of the Income-Tax Act, 1961	—	—	—	—
2.	Stock Option	—	—	—	—
3.	Sweat Equity	—	—	—	—
4.	Commission - As % of profit -others, specify...	—	—	—	—
		—	—	—	—
5.	Others, please specify	—	—	—	—
	Total	—	₹ 4,45,000	₹ 4,10,000	₹ 8,55,000

VII. PENALTIES/PUNISHMENT/COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act, 2013	Brief Description	Details of Penalty/Punishment/Compounding fees imposed	Authority [RD / NCLT / COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty <i>NIL</i>				
Punishment					
Compounding					
B. DIRECTORS					
Penalty <i>NIL</i>				
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty <i>NIL</i>				
Punishment					
Compounding					